

12/10/01

Express Mail No. ET160304585US
Attorney Docket No.: AM-5431

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Jennifer Y. Sun et al.

SERIAL NO.: 09/918,683 /

FILED: July 27, 2001

FOR: ELECTROCHEMICALLY ROUGHENED
ALUMINUM SEMICONDUCTOR
PROCESSING APPARATUS SURFACES

§ GROUP ART UNIT: Unknown

§

§ EXAMINER: Unknown

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§ Attorney Docket No.:

§ AM-5431

Date: November 8, 2001

**INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR § 1.97(b)(3)**

**Hon. Commissioner for Patents
Washington, DC 20231**


Sir:

In accordance with 37 CFR § 1.97(b)(3), applicants hereby request consideration of this Information Disclosure Statement. This Information Disclosure Statement is being submitted prior to the mailing of a first Office Action in the subject application. Applicants are providing herewith a copy of each document cited on the attached Form PTO-1449.

CERTIFICATE OF MAILING UNDER 37 CFR 1.10

I hereby certify that this paper is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as U.S. EXPRESS MAIL NO. ET160304585US in an envelope addressed to the: Commissioner for Patents, Box DD, Washington, DC 20231.


Date: November 8, 2001


Shirley L. Church, Reg. No. 31,858

The submission of this Information Disclosure Statement and Form PTO-1449 is not an admission that the art cited is "prior art" with respect to the present invention, nor is it a representation that no better art exists. Applicants hereby reserve the right to swear behind or otherwise disprove any alleged "prior" nature of any art cited should the facts support and the situation warrant such an action.

If the Examiner has any questions, s/he is respectfully requested to contact the undersigned attorney at the telephone number set forth below.

Respectfully submitted,

A handwritten signature in cursive script, reading "Shirley L. Church", is written over a horizontal line.

Shirley L. Church
Registration No. 31,858
Attorney for Applicants
(408) 245-5109

Correspondence Address:
Patent Counsel
Applied Materials, Inc.
P.O. Box 450-A
Santa Clara, California 95052



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Date: November 8, 2001

**INFORMATION DISCLOSURE STATEMENT
TRANSMITTAL LETTER**

**Hon. Commissioner for Patents
Washington, DC 20231**

Sir:

Applicants are submitting the subject Information Disclosure Statement under 37 CFR § 1.97(b)(3). This Information Disclosure Statement is being submitted prior to the mailing of a first Office Action in the subject application.

CERTIFICATE OF MAILING UNDER 37 CFR § 1.10

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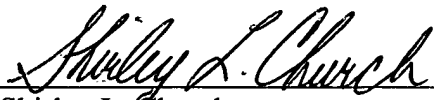
Date: November 8, 2001


Shirley L. Church, Reg. No. 31,858

Applicants do not believe that any fee is due in connection with the filing of this Information Disclosure Statement under 37 CFR § 1.97(b)(3). However, in the event that any additional fee is due, the Commissioner is hereby authorized to charge Deposit Account No. 50-1512 of Shirley L. Church, Sunnyvale, California, in the amount of such fee.

This transmittal letter is submitted in duplicate for accounting purposes.

Respectfully submitted,



Shirley L. Church
Registration No. 31,858
Attorney for Applicants

Correspondence Address:
Patent Counsel
Applied Materials, Inc.
P.O. Box 450-A
Santa Clara, California 95052